

*IMPORTANT: This document is important and requires your immediate attention. If you are in any doubt about the contents of this notice, you should immediately consult your stockbroker, bank manager, solicitor, accountant or financial adviser. Unless otherwise defined, terms in this notice shall have the same meaning as that in the Prospectus and the Hong Kong Covering Document of the Funds (as defined below).*

*The Securities and Futures Commission (“SFC”) authorization is not a recommendation or endorsement of the Funds nor does it guarantee the commercial merits of the Funds or their performance. It does not mean that the Funds are suitable for all investors, nor is it an endorsement of their suitability for any particular investor or class of investors.*

*CCB Principal Asset Management Co., Ltd. (the “**Manager**”) accepts full responsibility for accuracy of the information contained in this notice and confirms, having made all reasonable enquiries that to the best of its knowledge and belief, there are no other facts the omission of which would make any statement misleading.*

**CCB Principal Dual Income Bond Fund\* and CCB Principal Selected  
Growth Mixed Asset Fund\***

*(\*The name of the fund is not indicative of the fund’s performance and return)*

**Change of Directors of the Fund Manager**

Dear Investors,

We, CCB Principal Asset Management Co., Ltd., the Fund Manager of CCB Principal Dual Income Bond Fund and CCB Principal Selected Growth Mixed Asset Fund (the “**Funds**”) have issued an announcement dated 2 July 2024 regarding the change of directors of the Fund Manager of the Funds (the “**Announcement**”). Please see the attached Announcement (translated version) for your reference.

If you have any queries, please direct these to the Hong Kong Representative, Principal Investment & Retirement Services Limited, at 30/F, Millennium City 6, 392 Kwun Tong Road, Kwun Tong, Kowloon (telephone: (852) 2117 8383; Hong Kong website: [www.principal.com.hk](http://www.principal.com.hk) ^).

*^This website has not been reviewed by the SFC.*

CCB Principal Asset Management Co., Ltd.

2 July 2024

# **Announcement of CCB Principal Asset Management Co., Ltd. on Change of Directors**

In accordance with relevant regulations, CCB Principal Asset Management Co., Ltd. (hereinafter referred to as the “**Company**”) hereby announces the following changes of more than 50% of its directors in the recent 12 months:

1. As resolved by the 3<sup>rd</sup> extraordinary shareholders’ meeting of 2023 of the Company, Mr. Chen Xin was appointed as a director of the Company and Mr. Li Jianfeng was dismissed as the director of the Company.
2. As resolved by the 4<sup>th</sup> extraordinary shareholders’ meeting of 2023 of the Company, Mr. Sheng Liurong was appointed as a director of the Company. It was also resolved by the 16<sup>th</sup> meeting of the sixth board of directors of the Company that Mr. Sheng Liurong was elected as the chairman of the board of directors of the Company.
3. In view of the specific circumstances that the sixth board of directors of the Company is due for renewal, it was resolved at the third extraordinary shareholders’ meeting of 2024 that the following members of the seventh board of directors of the Company be elected:

Mr. Sheng Liurong (Chairman of the board of directors), Mr. Zhang Junhong, Mr. Chen Xin, Ms. Zhong Rongsa, Ms. Chen Huiyan, Mr. Wang Xiaobo, Ms. Wu Lan (independent director), Mr. Yao Lei (independent director) and Ms. Wang Yao (independent director).

Ms. Zhang Ran, Ms. Shi Yaping and Mr. Qiu Jingzhi, the former independent directors of the sixth board of directors, ceased to be independent directors of the Company due to the expiry of their terms of office.

The aforesaid change in directors comply with the Company Law of the People’s Republic of China and other laws and regulations and relevant provisions of the articles of association of the Company, and have no material adverse impact on the business of the Company’s publicly offered securities investment funds and privately offered asset management plans, as well as on the legitimate rights and interests of the Fund Unitholders and the specified assets trustees. The Company has filed with the regulatory authorities in accordance with the relevant provisions in respect of the aforesaid change of directors.

This announcement is hereby given.

**CCB Principal Asset Management Co., Ltd.**

**2 July 2024**